

SELF REGULATION SELECT COMMISSION

Venue: Town Hall, Moorgate
Street, Rotherham.

Date: Thursday, 21st February, 2013

Time: 3.30 p.m.

A G E N D A

1. Apologies for Absence.
2. To determine if the following matters are to be considered under the categories suggested in accordance with the Local Government Act 1972.
3. To determine any item which the Chairman is of the opinion should be considered as a matter of urgency.
4. Communications.
5. Declarations of Interest.
6. Questions from Members of the Public and the Press.

For Decision:-

7. Minutes of the previous meeting held on 10th January, 2013 (herewith) (Pages 1 - 4)

For Monitoring:-

8. Revenue Budget Monitoring for the period ending 31st December 2012 (report herewith) (Pages 5 - 14)
9. Capital Programme Budget 2013/14 to 2015/16 (report herewith) (Pages 15 - 34)
10. Corporate Risk Register (report herewith) (Pages 35 - 44)
11. Strategic Commissioning of Health and Social Care (report herewith and presentation by Chrissy Wright, Strategic Commissioning Manager) (Pages 45 - 50)

12. Work Programme Update (report herewith) (Pages 51 - 54)
13. Date and Time of Next Meeting - Thursday, 28th March, 2013 at 3.30 p.m.

Members of the Self-Regulation Select Commission:-

Councillor Currie (Chairman)

Councillor J. Hamilton (Vice-Chairman)

Councillors Atkin, Beck, Beaumont, Ellis, Godfrey, Mannion, Pickering, Sharman, Tweed and Watson.

**SELF REGULATION SELECT COMMISSION
10th January, 2013**

Present:- Councillor Currie (in the Chair); Councillors Atkin, Beaumont, Beck, Ellis, Godfrey, J. Hamilton, Mannion, The Mayor (Councillor Pickering), Sharman and Tweed.

Councillors Akhtar, Doyle, Lakin, McNeely, Rushforth, R. Russell, Stone and Smith

An apology for absence was received from Councillor Watson.

45. COMMUNICATIONS.

There were no communications to report.

46. DECLARATIONS OF INTEREST.

All Members present declared an interest in Minute No. 50 (Housing Rent Increase) on the basis of either being a Council tenant or related to or associated with a Council tenant.

47. QUESTIONS FROM MEMBERS OF THE PUBLIC AND THE PRESS.

There were no questions from members of the public or the press.

48. MINUTES OF THE PREVIOUS MEETING HELD ON 22ND NOVEMBER, 2012

Resolved:- That the minutes of the meeting of the Self Regulation Select Commission held on 22nd November, 2012, be approved as a correct record for signature by the Chairman.

49. EXCLUSION OF THE PRESS AND PUBLIC.

Resolved: - That, under Section 100A(4) of the Local Government Act 1972, the press and public be excluded from the meeting for the following items of business on the grounds that they involve the likely disclosure of exempt information as defined in Paragraph 3 of Part I of Schedule 12A to the Local Government Act 1972 [as amended 2006 – information relates to finance and business affairs].

50. HOUSING RENT INCREASE

Consideration was given to a joint report of the Finance Manager (Neighbourhoods and Adult Services) and Director of Housing and Neighbourhood Services, setting out the proposed housing rent, new build rents, garage rent and communal facilities increases for 2013/14.

The report set out in detail the proposed housing rent, new build rents, garage rent and communal facilities increases for 2013/14. The rents had been calculated in accordance with the national rent setting regime requiring all authorities to use the prescribed formula and apply annual increases to actual rents to achieve the Formula Rent. The Government expected that all similar properties in the same local area would have similar rent levels even if owned by different landlords (rent convergence). Rotherham was expected to achieve

rent convergence by 2015/16.

2013/14 was a 53 week year and, therefore, the average rent required amending to reflect this. The revised rent would be payable over 49 weeks thereby maintaining the policy of 4 weeks during the year when rent was not collected.

The building of 132 new Council properties had been completed in 2011/12. The funding model assumed that rents would be aligned to the Council's existing rent structure based on them having a higher property value than existing stock and already fully converged.

The report also contained at Appendix A the 2013/14 draft Housing Revenue Account budget for consideration.

In anticipation of a Self Regulation Select Commission Scrutiny Review recommendation on District Heating being accepted by Cabinet, the proposed changes for District Heating were contained within a separate report (Minute No. 57 refers).

Discussion ensued on the report with the following issues raised/highlighted:-

- Potential impact of the forthcoming Bedroom Tax and Universal Credit on rent collection rates
- Transfer from reserves
- New build properties - how their rents had been calculated and Right to Buy
- Management and supervision costs
- Garage Investment Programme

Resolved:- That Cabinet be requested to recommend to Council:-

(1) An average rent increase of 6.19% based on the DCLG rent formula which results in an average weekly increase of £4.30 when collected over 48 weeks.

(2) An average rent of £98.39 on new build Council properties.

(3) In line with the Retail Price Index, Garage Rents, Communal Facility charges (including where there were applicable laundry charges and cooking gas charge) be increased by 2.60%.

(4) That the draft Housing Revenue Account budget for 2013/14 be noted.

51. DISTRICT HEATING SCHEME CHARGES 2013-14

Consideration was given to a joint report of the Finance Manager (Neighbourhoods and Adult Services) and Director of Housing and Neighbourhood Services, setting out the proposed increases for District Heating for 2013/14.

In general, District Heating charges were made up of 2 components i.e. a weekly charge and a metered charge per kilowatt hour of heating used. It was proposed that there be no increase in the weekly charges (with the exception of

St. Ann's) but an increase in kilowatt hour charges to more accurately reflect true costs.

Pooled metered schemes had a weekly pre-payment flat rate charge collected through the rent system applied to all properties dependant upon the size of the property. The actual costs of each property's heating was determined by meter readings of the amount of kilowatt hours of heating actually used. St. Ann's was added to the pooled scheme in 2011/12 and agreed to bring their charges into line with the other pooled metered schemes over a 3 year period, 2013-14 being the final year.

Resolved:- That Cabinet be requested to recommend to Council:-

(1) That weekly district heating charges be not increased with the exception of St. Ann's which will follow the formula agreed in 2010/11.

(2) That the various proposed increases to the kilowatt hour charges, as outlined in the report submitted, be approved as a means of achieving full cost recovery.

(3) That to assist tenants, increases in the kilowatt hour charge be phased over a period of up to 5 years.

52. BUDGET 2013/14 - UPDATE

Councillor Akhtar, Deputy Leader, opened the presentation with an overall summary of the budget as at 2nd January, 2013 which included:-

- Initial funding gap
- Directorate proposed savings
- Provisional Settlement
- Medium Term Financial Strategy

The Select Commission then received presentations from the Strategic Directors/Directors representing Children and Young People's Services, Neighbourhoods and Adult Services, Environment and Development Services, Resources and Health and Wellbeing.

Discussion ensued on the presentations with the following issues raised:-

- Potential impact of proposals on Services and Service users and mitigation
- Smarter working methods including greater working practices/sharing of resources with outside agencies/partners
- What effect had the stringent budget cuts of the last 3 years had on Services?
- What processes had Directorates gone through to arrive at the proposed savings?
- Demographic pressures including increasing ageing population
- Consideration to alternative Service delivery
- Disadvantaged Communities agenda
- Possible impact of the Welfare Reform
- Impact on reserves

The Chairman thanked the Cabinet Members and officers for their attendance at the meeting.

Resolved:- That the proposed budget savings for 2013/14 be noted.

53. DATE AND TIME OF NEXT MEETING

Resolved:- That the next scheduled meeting take place on Thursday, 21st February, 2013 at 3.30 p.m.

1	Meeting:	Self-Regulation Select Commission
2	Date:	21st February 2013
3	Title:	Revenue Budget Monitoring for the period ending 31st December 2012
4	Directorate:	Resources (for all)

5 Summary

This report provides details of progress on the delivery of the Revenue Budget for 2012/13 based on performance for the first 9 months of the financial year. It is currently forecast that the Council will overspend against its Budget by £1.946m (+0.9%); an improvement of £2.219m on the October report which showed a forecast outturn of £4.165m (+1.9%). The main reasons for the forecast overspend are:

- The continuing service demand and cost pressures in looking after vulnerable children across the Borough and
- Additional, one-off property costs relating to the continued rationalisation of the Council's asset portfolio as part of the efficiency drive to reduce operational costs

It is expected that this forecast overspend will reduce further over the remaining months following Cabinet's instruction, endorsed by Scrutiny, that future spend should be on essential items only. For the remainder of the financial year spend must only be in respect of ensuring that vulnerable children and adults are safeguarded, be contractually committed, where to not spend would be a false economy, or to ensure compliance with health and safety requirements.

Continued, concerted management action will be also be required over the remaining months of this financial year to ensure that the Council is able to deliver a balanced outturn and preserve its successful track record in managing both its in year financial performance and its overall financial resilience.

Recommendations

(1) Self Regulation Select Commission is asked to note the following recommendations to Cabinet .

Cabinet is asked to:

- **Note the progress made to date in delivering the significant financial challenges presented in the Council's 2012/13 Revenue Budget;**
- **Receive further progress reports during the remainder of the financial year.**

(2) Self Regulation considers the report and forwards its comments to Cabinet for its deliberation.

7.1 Proposals and Details

This report presents details of spending against budget by Directorate covering the first 9 months of the 2012/13 financial year – April to December – and forecast costs and income to 31st March 2013.

7.2 The Overall Position

Directorate/Service	Annual Budget 2012/13	Projected Outturn 2012/13	Variance after Actions (over(+)/under(-) spend)	
	£'000	£'000	£'000	%
Children & Young People Services	34,434	35,689	+1,255	+3.6
Environment and Development Services	36,121	36,389	+268	+0.7
Neighbourhoods & Adult Services	74,234	73,884	-350	-0.5
Resources	26,049	26,251	+202	+0.8
Central Services	42,452	43,023	+571	+1.3
TOTAL	213,290	215,236	+1,946	+0.9
Housing Revenue Account (HRA)	73,327	71,695	-1,632	-2.2

Appendix 1 to this report provides a detailed explanation of the key areas of forecast over / underspend by Directorate. The summarised position for each Directorate is described below.

Children & Young People's Directorate (£1.255m forecast overspend)

The £1.255m forecast overspend position is largely due to pressures within Safeguarding and Corporate Parenting Service. The number of looked after children requiring placements reduced by 8 from 391 at the end of March 2011 to 383 at the end of March 2012. As at the end of December this number has risen to 397. Within this the number of children in residential out of authority placements is 23 (an increase of 6 since 31 March 2012).

Pressures on budgets for provision of Out of Authority Residential care (+£1.143m) and the provision of independent Foster Care placements (+£417k) are the main service pressures.

Children's Social Care services remain under pressure despite the services' proactive approach to drive down costs including:

- The Multi-Agency Support Panel introduced by the Director of Safeguarding & Corporate Parenting in April 2011 has in the current year (2012/13) delivered **cost avoidance in the region of £608k - this represents costs avoided through effective multi agency management actions and decision making.**

- Successful work undertaken by the Commissioning Team has resulted in the commissioning and re-commissioning service provider contracts with significant cost reductions/cost avoidance (£410k) to date.

Children's Services continue to look for ways to reduce spend.

Environment & Development Services (£268k forecast overspend)

The Directorate is currently forecasting an overspend of £268k largely due to pressures in Streetpride (£242k). The forecast overspend in Streetpride includes a potential pressure of £206k for Winter Maintenance based on spend for an 'average' winter. Details of the forecast overspend are included in Appendix 1.

Neighbourhoods and Adult Services (£350k forecast underspend)

Overall the Directorate is forecasting an underspend of £350k. Within this, Adult Services are forecasting an underspend (-£182k) and Neighbourhood services a £168k underspend. The forecast position for Neighbourhoods and Adult Services is made up of a number of under and overspends, detailed in Appendix 1.

Resources Directorate - (£202k forecast overspend)

Overall the Directorate is currently forecasting an overspend of £202k. The main pressure (£100k) is within the Commissioning, Policy and Performance service where staff have left the service during the year and consequently full-year savings have not been delivered. This is a non-recurrent pressure for 2012/13 only. The other key pressure is within Asset Management (£98k), largely due to Office accommodation costs and the cost of selling properties.

Central Services – (+£571k forecast overspend)

In setting the 2012/13 Budget, the Council put forward council-wide savings targets in respect of Commissioning and Staff savings. Delivery of the recurrent £2.2m staff savings target is ongoing. Savings realised from recent Voluntary Severance and Voluntary Early Retirement (VS/VER) approvals are now reflected within the Directorate's reported monitoring positions. The balance of the budget earmarked for for VS/VER which remains due to lower take-up than forecast leaves a net pressure of **+£1.209m** against the 2012/13 staff saving target.

The Commissioning Team have delivered £1.16m savings towards the recurrent savings target. Work to deliver further commissioning savings is ongoing. The current shortfall against this target is **+£1.240m**. Accounting Opportunities have been identified to mitigate this pressure in 2012/13. The Commissioning Team have also delivered savings in of excess of £410k which have mitigated the level of cost pressures within Children's services.

Forecast Land Bank pressures of **+£702k** exist due to the need to keep secure properties which have been vacated until they are sold or demolished.

Customer Services has a forecast overspend of **+£266k**. +£208k due to delay in delivering the 2012/13 Customer Services savings target (£250k), +£40k increased costs of collection – largely due an increase in the public making payments at the Post Office and through Paypoint outlets and a forecast overspend of +£18k for the Registrars Service.

To partially mitigate these central pressures the Council has one-off grant funding (-£495k) in respect of adjustments to Housing Benefit claims from 2011/12, the Council's contingency budget (-£251k), and -£2.1m Accounting Opportunities from the review, re-profiling and refinancing of the Council's Debt portfolio.

7.3 Housing Revenue Account (HRA) (£1.632m forecast underspend)

At this stage of the financial year the Housing Revenue Account is forecasting a £1.632m underspend. Any underspend at the end of the year will transfer to HRA reserves (ringfenced funding).

7.4 Agency, Consultancy and Non-Contractual Overtime Costs

The forecast outturn position includes costs in respect of Agency staff, Consultancy and non-contractual overtime. Detailed below is the analysis by Directorate:

Agency

Directorate	Outturn 2011/12	Cumulative to Dec 2011	Cumulative to Dec 2012
	£'000	£'000	£'000
Children & Young People's Services	1,855	1,524	321
Neighbourhoods & Adult Services	379	256	255
Environment & Development Services	265	252	149
Resources	11	9	160
TOTAL	2,510	2,041	885

Agency spend in Children's Services has significantly reduced in 2012/13 due to the successful campaign to recruit Social Work staff and hence avoid the need to engage more costly Agency staff.

The use of agency staff in both Neighbourhoods and Adult Services and Environment and Development Services has reduced compared to 2011/12 levels of expenditure.

All of the Agency spend in the Resources Directorate relates to former RBT services which transferred back to the Council. In 2011/12 RBT incurred Agency costs of £621k.

Consultancy

Directorate	Outturn 2011/12	Cumulative to Dec 2011	Cumulative to Dec 2012
	£'000	£'000	£'000
Children & Young People's Services	304	217	220
Neighbourhoods & Adult Services	0	0	0
Environment & Development Services	78	67	40
Resources	24	24	19
TOTAL	406	308	279

The majority of Consultancy spend within Children’s Services relates to the School Improvement Service. This is funded from a combination of revenue budget (25%) and Dedicated Schools Grant (DSG) and earned income from Schools.

Non-Contractual Overtime

Directorate	Outturn 2011/12	Cumulative to Dec 2011	Cumulative to Dec 2012
	£'000	£'000	£'000
Children & Young People’s Services	107	96	68
Neighbourhoods & Adult Services	314	244	290
Environment & Development Services	471	339	326
Resources	79	60	146
TOTAL	971	739	830

Children’s Services overtime is largely in respect of safeguarding in residential care homes.

Overtime spend within Adult Services is mainly due to the need to maintain statutory staffing levels in residential, home care, day care services and social work posts and represents cover for sickness and slippage in recruiting to vacant posts.

Environment and Development Services overtime spend is predominantly in respect of Streetpride Services – Highways, Network Maintenance, Street Lighting, Street Cleansing and Grounds Maintenance (£228k) where work is often undertaken at times to avoid inconvenience and danger to the public. Planning and Regeneration Services (£34k) and Waste Management Services (£64k) for sickness and holiday cover.

The Resources Directorate’s overtime spend to December 2012 includes £88k which relates to former RBT services which transferred back to the Council. The equivalent overtime spend for these former RBT services for the period April to December 2011 was £70k. This overtime spend is predominantly in respect of maintaining ICT and Customer Support Services. Facilities Services have also incurred overtime costs of £35k for the 9 months ending 31st December 2012.

8. Finance

The financial issues are discussed in section 7 above.

Management actions to bring projected spend in line with Budget limits have already been put in place, including a freeze on all but essential spend as instructed by Cabinet when the September Budget Monitoring Report was considered. This action was also endorsed by Scrutiny. Additionally the Chief Executive has given written instruction that unless contractually committed, spend for the remainder of the year must only be for ensuring the safeguarding of vulnerable children and adults, to meet health and safety requirements, avoid false economy and/or be highly sensitive to local members or local communities. Work is being undertaken to identify further actions to bring spend in line with budget by the end of March 2013.

9 Risks and Uncertainties

At a time of economic difficulty and tight financial constraints, managing spend in line with the Council's Budget is essential. Careful scrutiny of expenditure and income across all services and close budget monitoring therefore remain a top priority.

The forecast outturn currently provides for an 'average winter' with a pressure of £200k. If the weather is better or worse than this 'average' it is likely to result in lower or higher expenditure than is currently forecast.

10. Policy and Performance Agenda Implications

The delivery of the Council's Revenue Budget within the parameters agreed at the start of the current financial year is essential if the objectives of the Council's Policy agenda are to be achieved. Financial performance is a key element within the assessment of the Council's overall performance framework.

11. Background Papers and Consultation

- Revenue Budget and Council Tax for 2012/13 Report to Council 7th March 2012.
- Strategic Directors and Service Directors of the Council

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Key reasons for forecast over / underspends**Children & Young People's Services (£1.255m forecast overspend)**

The key factors contributing to the forecast overspend are:

Children Looked After – Forecast overspend of +£1.303m. The forecast overspend on Residential out of authority placements is **+£1,143k**. The number of children in residential out of authority placements as at 31st December is 23 (an increase of 6 since 31 March 2012).

The forecast overspend on Independent Fostering placements is **+£417K**. The number of children in Independent foster care as at 31st December is 122 (a reduction of 11 since the end of March 2012).

The number of looked after children requiring placements reduced by 8 from 391 at the end of March 2011 to 383 at the end of March 2012. As at the end of December this number is 397.

These overspends are offset by underspends on in house Fostering services (**-£41K**), in house Residential (**-£122K**), redistribution of grant (**-£62K**) & transport for looked after children (**-£32K**).

Other Children & Families Services – Forecast overspend of **+£54k** as a result of Special Guardianship allowances (+£55K) & Inter Agency Adoption Fees (+£80K) & Adoption Allowances (+£7K) offset by staffing slippage (-£7K) & an underspend on leaving care services (-£81K).

Special Education Provision – Forecast overspend of **+£201k** due to an increase in Complex Needs placements (+£236K) offset by additional income generation (-£26K) & staff slippage (-£9K).

Pension/Miscellaneous – Forecast Overspend of **+£5k** due to additional pension costs.

Delegated Services – Forecast Overspend of **+£26k** due to a projected under recovery of income at Rockingham Professional Development Centre.

The above over spends are being offset by under spends of **-£334k** from staffing slippage (-£149k), redistribution of grant (-£151) & additional income generation (-£34K).

The Directorate has developed and is maintaining a Budget Savings Action Plan to track progress on delivery of the savings. Progress against the Action Plan is considered at fortnightly Directorate's Leadership Team (DLT) meetings. The Chief Executive and Director of Finance also attend these meetings on a monthly basis.

Delivery of the savings will require change in the way services are configured, and work is already well in hand to effect that change, led by staff in CYPS Directorate. Furthermore, significant Council-wide resource is being marshalled to support CYPS staff in the implementation of change.

Environment & Development Services (+£268k forecast overspend)**Streetpride (+£242k)**

Network Management – reporting a **+£236k** over spend.

In the main is due to a potential **+£206k** pressure on Winter Maintenance based on an average winter (this is the underfunded amount). There are some pressures across the Service which are due to unachievable income targets set for Parking **+£68k**. Some savings (predominantly staff savings) have been identified in Street lighting and Streetworks enforcement of **-£25k**, which help reduce other identified pressures. Further savings include over recovery of income on Section 38 income **-£13k**.

Waste Management – reporting **+£35k** over spend, which in the main is due to income pressures, which are being partially mitigated by some savings from renegotiations of contracts and from changes to collection arrangements for Green Waste over the winter period.

There are other small pressures reported across the Service, the Stores Account **+£26k** and a further unfunded pressure **+£26k** relating to the Eastwood initiative. These are being partially mitigated by a **-£45k** under spend on Transportation due to some revised income projections and from Corporate Transport Unit **-£35k**. Overall Leisure and Community Services has a small underspend **-£1k** where saving some mitigating some significant pressures on Allotments and Grounds Maintenance.

Regeneration and Planning (+£30k)

Markets budgets are forecasting a pressure (**+£28k**) due to:- fewer traders renting stalls(+£10k) , an estimated requirement for repairs (+£25k), with both pressures partially mitigated by savings on overheads (-£7k). **The Local Development Framework** is also forecasting a pressure in respect of consultancy spend (**+£59k**).

Development Control estimate a pressure of **+£38k** due to revised income projections.

Service-wide pressures of **+£26k** across Planning and Regeneration services also exist

Savings of **-£44k** in respect of the Business Centres and **-£77k** within Cultural Services have been Identified which partially mitigate the pressures previously identified.

Business Unit (-£4k)

The Business Unit is forecasting a small saving of **-£4k**

Neighbourhoods & Adult Services (-£350k forecast underspend)

Adult Services are forecasting a underspend of -£182k, however, a number of pressures are being offset by a number of areas of forecast underspend and management actions.

The key underlying budget pressures include:

Learning Disabilities Independent Residential Care – loss of continuing health income plus transfer of former health funded clients (+£388k).

Older People in-house residential care - additional agency costs to cover vacancies

and long term sickness (+£94k) plus income shortfall in respect of client charges (+£92k).

Direct Payments – forecast overspend of (+£1.754m) across all client groups due to increase in demand, a net increase of 143 clients since April.

Transport - recurrent budget pressure on transport (+£257k) including income from charges.

Physical & Sensory Disabilities – loss of health funding within supported living scheme (+£52k)

General pressure on ICT budgets across the service including PC support costs (£72k).

These forecast pressures are being offset by the following forecast underspends:-

Older People's service – forecasting a net underspend on independent sector residential and nursing care due to 40 clients less than forecast, an increase in the average client contribution and income from property charges (-£639k).

Older People's Domiciliary Care – overall forecast underspend (-£85k) due to an increase in client take up of Direct Payments.

Older People Assessment & Care Management – slippage on recruitment to vacant posts plus additional income from health (-£416k).

Older People Day Care – savings from the review of the service (-£140k)

Learning Disabilities – forecasting an underspend within supported living due to additional income from health plus one-off grant funding (-£258k).

Physical and Sensory Disabilities – planned slippage on developing alternatives to residential provision (-£512k) to offset pressures on Direct Payments budgets plus income from property charges. Forecast underspend on independent sector day care due to value for money review of current contracts (-£25k).

Mental Health Community Support – delayed start up of supported living scheme to offset pressures in Direct Payments (-£156k).

Community Elderly Mental Health – slippage in developing dementia services (-£225k).

Assistive Technology – forecast slippage in the further development of schemes against budget (-£150k).

Carers – underspend due to vacant posts and slippage in take up of carers breaks (-£181k).

Safeguarding – underspend (-£53k) due to slippage on recruitment to vacant post plus additional income from court of protection fees.

Other forecast underspends include general premises and supplies and services costs (-£51k).

Note: Supporting People - Efficiency savings of £234k on subsidy contracts are being offset against the corporate commissioning savings target and are therefore not included in the Adult Services forecast.

Neighbourhood Services: - £168k forecast underspend comprises:

Public Health – restructure of service resulted in delays to filling vacant posts earlier in the year (-£77k).

Housing and Communities – savings on vacant posts (-£24k) and forecast underspend on Community Leadership Fund (-£20k). As in previous years, this £20k balance will be requested for roll-forward into 2013/14.

Strategic Housing & Investment Service - forecast underspend on Lighting of Staircases budgets based on expenditure to date (-£17k).

Environmental Health – efficiency savings on transport and premises costs plus reduction in spend on supplies and services as a result of the moratorium on non essential spend (-£36k).

Housing Options – slight overspend due to unmet vacancy factor (+£1k)

Central – ending of Asylum Seeker funding and vacancy factor pressure, partially reduced by savings on supplies and services is resulting in a forecast overspend of +£5k.

Resources Directorate (+£202k forecast overspend)

Asset Management – Overall forecast is currently a **+£98k** overspend largely due to Office accommodation costs and the cost of selling properties.

Commissioning, Policy and Performance services – **+£100k** forecast overspend due to staff leaving the service through severance or early retirement during the course of the year, consequently delivering only part year savings in 2012/13. This is a non-recurrent pressure.

Human Resources & Payroll – Small pressure across the whole service (**+£4k**)

ROTHERHAM BOROUGH COUNCIL – REPORT TO MEMBERS
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1	Meeting:	Self Regulation Select Commission
2	Date:	21st February 2013
3	Title:	Capital Programme Budget 2013/14 to 2015/16
4	Directorate:	Resources

5 Summary

The purpose of this report is to enable the Council to review and approve the capital programme for the financial years 2013/14 to 2015/16.

6 Recommendations

That the Self Regulation Select Commission notes that the Cabinet is being asked to:-

NOTE THE CONTENTS OF THIS REPORT; AND

RECOMMEND THE APPROVAL OF THE UPDATED CAPITAL PROGRAMME 2013/14 TO 2015/16 BY FULL COUNCIL.

7 Proposals and Details

7.1 Background - The Capital Programme

Ongoing restrictions on Government funding for local government capital investment plans continue to limit the level of funding available to regenerate and enhance the Borough's infrastructure.

Concurrently, the Council has continued to be proactive in looking to rationalise its asset and buildings portfolio so as to realise revenue savings and potential capital receipts from any future asset sale. Generally, such capital receipts are expected to be used to reduce future capital financing costs so as to reduce the revenue cost of borrowing in support of the Council's Medium Term Financial Strategy.

The Capital Programme 2013/14 to 2015/16

The budget process that has led to the recommended capital programme for 2013/14 to 2015/16 ensures that Council's capital investment plans are aligned with strategic priorities and available funding. The financial implications of the programme are reflected in the Council's Medium Term Financial Strategy (MTFS) and Treasury Management and Investment Strategy.

Over the three year period of this programme the Council intends to invest £134.724m in capital schemes across the Borough, including £61.767m which will be invested in regeneration and enhanced infrastructure schemes in 2013/14. It should be noted that a number of central government and other funding agencies grant funding notifications have not been received at this time, which has limited the development and inclusion of schemes in the 2015/16 financial year. Once those notifications are received, scheme reports will be brought forward for approval by Members for inclusion in the programme.

Current expenditure plans and profiles are reflected in the Directorate summary forecast capital spend table presented below. A detailed copy of the programme for each Directorate is attached at Appendices 1 to 4.

Directorate	2013/14 Estimate £m	2014/15 Estimate £m	2015/16 Estimate £m
Children & Young People's Service	9.906	3.453	1.850
Environment & Development Services	16.954	6.962	0.650
Neighbourhoods & Adult Services	33.647	29.288	29.481
Resources	1.260	1.273	0.000
TOTAL	61.767	40.976	31.981

7.2 Children and Young People's Services Capital Programme 2013/14 to 2015/16

The proposed spend for 2013/14 is £9.906m, with a further £5.303m of investment in the following years of the current programme. At this time the government grant allocations for the coming years is yet to be confirmed so the projects shown in the programme are based on the existing allocations.

A copy of the current full programme is attached to this report at Appendix 1. Commentary on the main aspects of the programme and the nature of the spend is given below.

Primary Schools

Spend on Primary Schools is expected to be £1.370m in 2013/14, the investments in this area being as follows:

- Work will continue on the **Maltby Lilly Hall** new school project (£0.472m) which will create six new classrooms together with associated facilities and external play areas. Completion is expected to be in September 2013.
- The **Flanderwell Primary School** development (£0.898m) will continue until August 2013 and will provide permanent accommodation and facilities, consisting of a 5 classroom single storey building. This is necessary as the number of pupils in the catchment area exceeds places available.

Secondary Schools

Spend on Secondary Schools is expected to be £4.961m in 2013/14 with a further £0.100m in the subsequent years. The investments in this area are:

- Funding will be made available under the **Support to Schools** heading (£0.100m) to pay for essential capital works at PFI schools, which fall outside of the original long term contract.
- Investment will continue to be made through the **Access Initiative** project (£0.188m) to improve facilities in line with Disability Discrimination Act requirements.
- Completion of the **Maltby Academy** project (£4.523m) is planned for 2013/14 following commencement in September 2012. The scheme will deliver extensive refurbishment of existing buildings plus a new sports hall and teaching block. The Council continues to have an interest in the buildings until finalisation of the proposed long term lease of the assets to the Academy and is providing professional and technical support for the project.

- Essential remedial works are still planned to renovate and improve facilities at **Swinton Community School** (£0.150m), including re-roofing and re-wiring. Following the rejection of a bid for funding under the Priority Schools Building Programme a thorough review of the works to be carried out is to be conducted and a further report will be brought to Members in due course.

Other Projects

The other major investments are:

- Using Government funding minor enhancement works will be carried out at schools. The **Capitalised Minor Enhancements** programme in 2013/14 is forecast to be £2.517m with a further £3.450m over the following two years.
- **Devolved Formula Capital Grant** is paid annually to schools for them to use on small capital projects. In 2013/14 £0.853m is expected to be available for them to spend with a further £1.653m to be allocated in the subsequent years.
- The programme for **Property Adaptations** will be £0.205m in 2013/14, with a further £0.305m to be spent in the remaining years in this programme. This investment will be used to improve the homes of foster carers, allowing greater capacity for fostering placement and improving their quality of life. It will reduce the need for Independent Fostering, delivering substantial future revenue savings.

Environment and Development Services (EDS) Capital Programme 2013/14 to 2015/16

The whole EDS programme covers regeneration schemes, cultural and leisure, flood alleviation, waste management and infrastructure related schemes.

The proposed spend for 2013/14 is £16.954m with a further £7.612m of investment in subsequent years. At this time grant allocations for the coming years is yet to be confirmed so the projects shown in the programme are based on the existing allocations. A copy of the full programme is attached to this report at Appendix 2. Commentary on the main aspects of the EDS programme and the changes to planned spend are shown below:

Culture and Leisure

The overall programme spend in 2013/14 is expected to be £0.884m. The investments in this area are:

- A delay to the completion of the **Clifton Park** restoration project (£0.226m) was caused by the insolvency of the contractor. The

funding body has agreed to a one year extension of the project which will now be completed in 2013/14.

- Agreement has been reached in principal to build an extension to **Brinsworth Library** (£0.499m) on the Parish Council site. It is anticipated that work will be completed in 2013/14.
- The **Library and Information Service** review was concluded in November with a new service redesign model being proposed. Following this, a review of the current buildings configuration is being undertaken to ensure alignment with the proposed service redesign. It is anticipated that any works required will now be carried out in 2013/14 (£0.159m).

Highways

The Council's highways continue to be a priority for investment and current plans are for £23.056m to be invested over the next three years, with £15.444m of that expected to be spent in 2013/14. The programme reflects that 2014/15 is the final year for the current round of Government funding, consequently schemes for 2015/16 will be brought forward once notification of the new allocation is received.

Commentary on the main aspects of the Highways programme and the nature of spend is given below:

- The **A57 Improvement Scheme** (£8.050m) has commenced and been reprofiled in line with contractors revisions, which will see the scheme completed over a 65 week rather than a 72 week period.
- The **LTP Integrated Transport Block** (£1.534m) will continue to fund projects within the five main schemes, Bus Projects, Connectivity, Local Safety, Network Management and Smarter Choices.
- The **Highways Maintenance** programme (£2.478m) will continue to cover major Borough wide works including the resurfacing of Meadowbank Road, Rotherham and Main Street in Wingfield.
- Grant funding has allowed the Council to proceed with the **LSTF Main Bid** project (£1.282m) and in 2013/14 work will continue on schemes including:
 - improvements to the intelligent transport system in the Dearne Valley corridor which will introduce traffic systems and signals that respond to traffic conditions, electronic variable message signs and car park capacity signs;
 - accessibility and bus improvements to the A633 in Rotherham;
 - canal towpath improvements between Rotherham and Sheffield.
- Anticipated spend on **Other Highways Projects** (£1.450m) will include investment to:

- signalise the junction of Oldgate Lane, Thrybergh and the TATA steel access route;
- install new tracking equipment into vehicles to allow the tracking of salting activities, navigate winter service drivers along routes without the need for route familiarisation training and provide automated salting;
- refurbish the deck and joint on Crinoline bridge;
- install a new culvert at Hellaby Brook.

Other investments

The Council has, in 2013/14, continued to invest in the Borough's infrastructure, in particular:

- **Rotherham Townscapes Heritage Initiative** (£0.525m) continues to deliver improvements to the town centre, investing in the renovation of shop frontages, structural works and roof replacements. Work on these improvements will continue into 2013/14 including completion of the High Street/Church Street public realm works.
- **Town Centre Business Vitality Scheme** (£0.077m) is designed to encourage and support new independent niche retail businesses to open up in the Rotherham town centre. These schemes have slipped into 2013/14 as some applications for support could not be processed either because they were outside the designated support zone or because they duplicated existing retail outlets.

Neighbourhoods and Adults Services Capital Programme 2013/14 to 2015/16

The forecast spend for 2013/14 is £33.647m, with a further £58.769m planned in the remainder of the programme. A copy of the full revised programme is attached to this report at Appendix 3.

Adult Services

In 2013/14 Adult Services will receive £0.723m PSS grant, an increase of just over 1% on 2012/13, with a further £0.738m to be received in 2014/15. The Service is currently in the process of developing projects to utilise this grant and these will be brought forward in future reports to Members.

Currently firm plans are in place, with total expenditure anticipated to be £0.654m, which will see the development of the following projects:

- The **Transformation Project** (£0.064m) will see the introduction of systems which will help the Council share relevant social care data with other Yorkshire and Humber Councils, creating a more efficient service.

- The **Assistive Technology** scheme (£0.400m) will enable people requiring care support services to live independently within their own home through the purchase of telecare equipment. This equipment includes fall detectors and monitoring alarms.
- **Rotherham Equipment and Wheelchair Service (REWS)** (0.190m) – the purchase of equipment, after Occupational Therapist assessment, to support people within their own homes. Equipment will include a range of specialist bath and shower aids and mattresses which will be managed by Rotherham Foundation Hospital Trust.

Neighbourhood Services

For 2013/14 the Service is expected to spend £32.993m with a further £58.769m to be invested during the remaining period of the programme. A copy of the full programme is attached to this report at Appendix 3 and the most notable items are detailed below.

Improving Council Housing & Housing Services (£27.803m) – The projects within this area of investment have been revised to reflect schemes identified from the refreshed housing condition survey, which drives the identification and delivery of the Housing Investment Programme.

Notable investments in this area are:

- **Refurbishment Works** (£13.863m) will be carried out to improve the quality of the housing stock both internally and externally across the borough.
- **Environmental Works** (£1.491m) – the scheme aims to address crime and health and safety issues through small scale projects, such as the installation of fencing and the renovation of paths, which fall outside of the general repairs regime.
- The **Decent Homes Void Programme** (£1.813m) is on-going with the aim of renovating approximately 150 void properties in 2013/14, allowing them to be re-let to tenants.
- **Replacement of Central Heating** systems (£2.990m) will continue to modernise the heating systems in Council Houses across the Borough, with up to 1,500 systems earmarked for replacement in 2013/14.
- **Non-traditional Investment** (£1.400m). The investment in this area will deliver the renovation of 56 properties in Swallownest and 83 properties in Rawmarsh. It is also hoped that work will also commence, in 2013/14, on a further 97 properties in Rawmarsh.
- **Strategic Acquisitions** (£2.166m). The Council will continue to increase its housing stock with the acquisition of existing housing or

new build units. Opportunities have already been identified in the Wath, Wickersley, Thrybergh and Rawmarsh areas and work will continue to identify other potential purchases.

Fair Access To All: Disabled Adaptations (£3.091m) – Work will continue to ensure these demand led works are completed within the statutory timescales.

Investment into **Neighbourhood Regeneration & Renewal** (£1.750m) will continue with the most notable projects being:

- **Canklow Phase 1 & 2** (£0.450m) will continue to deliver the phased regeneration programme on Warden Street with the acquisition of properties in preparation for demolition.
- **Bellows Road, Rawmarsh, Service Centre Clearance** (£0.585m). 2013/14 will see the delivery of the new retail provision, which is scheduled for completion in December 2013. Following on from that, once all remaining tenants have been relocated, the remainder of Bellows Road will be demolished and the site prepared for residential development.
- **Garage Site Investment** (£0.500m) which will see the renovation of a number of garage sites, making them safe and secure for use, most notably in Rawmarsh and Wath.

Neighbourhoods Improvements Non-HIP Programme (£0.349m) – the majority of the spend in this area will be on the **Landfill Sites** (£0.330m) where issues with legal negotiations, contractual obligations and surveys led to the project slipping into 2013/14 and 2014/15. Work is continuing to resolve these issues which will then allow the Council to improve the gas/leachate systems and restore the land.

Resources Capital Programme 2013/14 to 2015/16

Overall the 2013/14 programme is expected to spend £1.260m, with a further £1.273m to be invested in the ensuing years, as the Council continues to invest in its **ICT infrastructure** as part of its ICT Strategy. The Strategy is focussed on ensuring the Council is able to support effectively the services it delivers and promote new, innovative, ways of working that will result in greater efficiencies and effectiveness. A copy of the programme is attached to this report at Appendix 4.

7.3 Funding of the Programme

The table shown below outlines the funding strategy associated with the schemes profiled above and detailed in the Appendices 1 to 4.

Funding	2013/14 Estimate	2014/15 Estimate	2015/16 Estimate
	£m	£m	£m
Grants & Contributions	23.452	10.694	2.779
Supported Borrowing	0.188	0.000	0.000
Unsupported Borrowing	8.568	1.851	0.700
Usable Capital Receipts	1.412	0.782	0.332
Major Repairs Allowance (HRA)	19.480	20.164	21.664
Revenue Contributions	8.667	7.485	6.506
Total	61.767	40.976	31.981

7.4 Amount of Capital Expenditure on a Ward Basis

The table shown below shows the expenditure associated with the schemes profiled above, and detailed in the Appendices 1 to 4, on a Ward basis.

Ward	2013/14 Estimate	2014/15 Estimate	2015/16 Estimate
	£m	£m	£m
Anston & Woodsetts	0.038	0.038	0.035
Boston Castle	1.514	0.536	0.034
Brinsworth & Catcliffe	0.537	0.037	0.035
Dinnington	0.063	0.038	0.035
Haugh	0.639	0.054	0.051
Hellaby	0.571	0.054	0.051
Holderness	3.608	0.033	0.031
Hooper	0.060	0.015	0.014
Keppel	0.060	0.060	0.056
Maltby	4.545	0.022	0.020
Rother Vale	0.025	0.025	0.023
Rotherham East	0.063	0.063	0.059
Rotherham West	0.094	0.044	0.042
Silverwood	0.092	0.037	0.035
Sitwell	0.036	0.036	0.034
Swinton	0.195	0.045	0.042
Valley	1.678	1.572	0.057
Wales	3.644	0.049	0.027
Wath	0.178	0.127	0.055
Wickersley	0.934	0.036	0.034
Winfield	0.076	0.051	0.030
All Wards	43.117	38.004	31.181
Total	61.767	40.976	31.981

8. Financial Implications

These are contained within the body of this report. Any revenue implications from the revised programme have been fully reflected in the Council's latest revenue forecast and its updated Medium Term Financial Strategy.

9. Risks & Uncertainties

The Capital Programme is funded through a number of sources: borrowing (both supported and unsupported), capital grants & contributions, revenue contributions and capital receipts. Any uncertainty over the funding of the Programme rests on confirmation that grants/contributions and capital receipts continue to be available in

coming years. Where funding sources are volatile in nature the risks will be managed by continually keeping the programme under review.

10. Policy and Performance Agenda Implications

The preparation of the Medium Term Financial Strategy incorporating a profiled capital programme and the associated revenue consequences, together with regular monitoring, highlights the Council's commitment to sound financial management.

11. Background Papers and Consultation

- Capital Programme Monitoring 2012/13 to 2014/15 Report to Cabinet.
- Project / Scheme monitoring reports
- Monitoring returns and Programme setting details from Directorates.

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CHILDREN AND YOUNG PEOPLE'S CAPITAL PROGRAMME 2013/14 - 2015/16
FINANCIAL SUMMARY STATEMENT

CAPITAL INVESTMENT BY PROJECT	ESTIMATED SPEND AND FUNDING STATEMENT		
	2013/14	2014/15	2015/16
	£'000s	£'000s	£'000s
PRIMARY			
MALTBY LILLY HALL	472		
FLANDERWELL PRIMARY EXTENSION	898		
SECONDARY			
SUPPORT TO SCHOOLS	100	100	
ACCESS INITIATIVE	188		
MALTBY ACADEMY	4,523		
SWINTON COMMUNITY SCHOOL IMPROVEMENTS	150		
CAPITALISED MINOR ENHANCEMENTS	2,517	2,450	1,000
OTHER SCHEMES			
DFCG	853	853	800
PROPERTY ADAPTATIONS	205	50	50
CYPS CAPITAL PROGRAMME	9,906	3,453	1,850

SOURCES OF FUNDING	2013/14	2014/15	2015/16
	£'000s	£'000s	£'000s
	SUPPORTED CAPITAL EXPENDITURE (REVENUE)	188	
GRANTS AND CONTRIBUTIONS	9,513	3,403	1,800
REVENUE CONTRIBUTION			
USABLE CAPITAL RECEIPTS			
PRUDENTIAL BORROWING	205	50	50
EARMARKED RESERVES			
MAJOR REPAIRS ALLOWANCE			
CYPS CAPITAL PROGRAMME	9,906	3,453	1,850

CYPS CAPITAL INVESTMENT BY WARD 2013/14 - 2015/16

CYPS CAPITAL INVESTMENT BY WARD	Estimated Spend		
	2013/14	2014/15	2015/16
	£'000s	£'000s	£'000s
ANSTON & WOODSETTS	38	38	35
BOSTON CASTLE	36	36	34
BRINSWORTH & CATCLIFFE	37	37	35
DINNINGTON	38	38	35
HAUGH	54	54	51
HELLABY	526	54	51
HOLDERNESS	33	33	31
HOOBER	15	15	14
KEPPEL	60	60	56
MALTBY	4,545	22	20
ROTHER VALE	25	25	23
ROTHERHAM EAST	63	63	59
ROTHERHAM WEST	44	44	42
SILVERWOOD	37	37	35
SITWELL	36	36	34
SWINTON	195	45	42
VALLEY	61	61	57
WALES	29	29	27
WATH	59	59	55
WICKERSLEY	934	36	34
WINGFIELD	31	31	30
ALL WARDS	3,010	2,600	1,050
CYPS CAPITAL PROGRAMME	9,906	3,453	1,850

EDS CULTURE AND LEISURE CAPITAL PROGRAMME 2013/14 - 2015/16
FINANCIAL SUMMARY STATEMENT

CAPITAL INVESTMENT BY PROJECT	ESTIMATED SPEND AND FUNDING STATEMENT		
	2013/14	2014/15	2015/16
	£'000s	£'000s	£'000s
CLIFTON PARK-URBAN RESTORATION	226		
BRINSWORTH LIBRARY	499		
STRATEGIC REVIEW OF LIBRARIES	159		
CULTURE AND LEISURE CAPITAL PROGRAMME	884	0	0

SOURCES OF FUNDING	2013/14	2014/15	2015/16
	£'000s	£'000s	£'000s
	SUPPORTED CAPITAL EXPENDITURE (REVENUE)		
GRANTS AND CONTRIBUTIONS	226		
REVENUE CONTRIBUTION			
USABLE CAPITAL RECEIPTS			
PRUDENTIAL BORROWING	658		
EARMARKED RESERVES			
MAJOR REPAIRS ALLOWANCE			
OVERPROGRAMMING C/FWD			
CULTURE AND LEISURE CAPITAL PROGRAMME	884	0	0

EDS HIGHWAYS CAPITAL PROGRAMME 2013/14 to 2015/16
FINANCIAL SUMMARY STATEMENT

CAPITAL INVESTMENT BY PROJECT	ESTIMATED SPEND AND FUNDING STATEMENT		
	2013/14	2014/15	2015/16
	£'000s	£'000s	£'000s
A57 IMPROVEMENTS	8,050		
LTP INTEGRATED TRANSPORT BLOCK	1,534	1,836	
LTP HIGHWAY MAINTENANCE	2,478	2,000	
LSTF MAIN BID	1,282	1,511	
REPLACEMENT/UPGRADE STREET LIGHT	650	650	650
OTHER HIGHWAYS PROJECTS	1,450	965	
EDS HIGHWAYS CAPITAL PROGRAMME	15,444	6,962	650

SOURCES OF FUNDING	2013/14	2014/15	2015/16
	£'000s	£'000s	£'000s
	SUPPORTED CAPITAL EXPENDITURE (REVENUE)		
GRANTS AND CONTRIBUTIONS	11,816	6,312	
REVENUE CONTRIBUTION			
USABLE CAPITAL RECEIPTS			
PRUDENTIAL BORROWING	3,628	650	650
EARMARKED RESERVES			
MAJOR REPAIRS ALLOWANCE			
OVERPROGRAMMING C/FWD			
EDS HIGHWAYS CAPITAL PROGRAMME	15,444	6,962	650

EDS ECONOMIC REGENERATION CAPITAL PROGRAMME 2013/14 - 2015/16
FINANCIAL SUMMARY STATEMENT

CAPITAL INVESTMENT BY PROJECT	ESTIMATED SPEND AND FUNDING STATEMENT		
	2013/14	2014/15	2015/16
	£'000s	£'000s	£'000s
MASTERPLAN			
BROOKFIELD PARK LANDSCAPING - MANVERS	24		
ROTHERHAM TOWNSCAPE HERITAGE INITIATIVES	525		
EDS ECONOMIC REGENERATION CAPITAL PROGRAMME	549	0	0

SOURCES OF FUNDING	2013/14	2014/15	2015/16
	£'000s	£'000s	£'000s
	SUPPORTED CAPITAL EXPENDITURE (REVENUE)		
GRANTS AND CONTRIBUTIONS	75		
REVENUE CONTRIBUTION			
USABLE CAPITAL RECEIPTS			
PRUDENTIAL BORROWING	474		
EARMARKED RESERVES			
MAJOR REPAIRS ALLOWANCE			
OVERPROGRAMMING C/FWD			
EDS ECONOMIC REGENERATION CAPITAL PROGRAMME	549	0	0

EDS - OTHER CAPITAL PROGRAMMES 2013/14 - 2015/16
FINANCIAL SUMMARY STATEMENT

CAPITAL INVESTMENT BY PROJECT	ESTIMATED SPEND AND FUNDING STATEMENT		
	2013/14	2014/15	2015/16
	£'000s	£'000s	£'000s
ROTHERHAM ECONOMIC REGENERATION FUND			
TOWN CENTRE BUSINESS VITALITY SCHEME-PRIVATE PROPERTIES	35		
TOWN CENTRE BUSINESS VITALITY SCHEME-RMBC PROPERTIES	42		
EDS - OTHER CAPITAL PROGRAMMES	77	0	0

SOURCES OF FUNDING	2013/14	2014/15	2015/16
	£'000s	£'000s	£'000s
	SUPPORTED CAPITAL EXPENDITURE (REVENUE)		
GRANTS AND CONTRIBUTIONS			
REVENUE CONTRIBUTION			
USABLE CAPITAL RECEIPTS			
PRUDENTIAL BORROWING	77		
EARMARKED RESERVES			
MAJOR REPAIRS ALLOWANCE			
OVERPROGRAMMING C/FWD			
EDS - OTHER CAPITAL PROGRAMMES	77	0	0

SUMMARY EDS CAPITAL PROGRAMME 2013/14 - 2015/16

	2013/14	2014/15	2015/16
	£'000s	£'000s	£'000s
TOTAL EDS INVESTMENT	16,954	6,962	650

SOURCES OF FUNDING	2013/14	2014/15	2015/16
	£'000s	£'000s	£'000s
SUPPORTED CAPITAL EXPENDITURE (REVENUE)			
GRANTS AND CONTRIBUTIONS	12,117	6,312	
REVENUE CONTRIBUTION			
USABLE CAPITAL RECEIPTS			
PRUDENTIAL BORROWING	4,837	650	650
EARMARKED RESERVES			
MAJOR REPAIRS ALLOWANCE			
EDS CAPITAL PROGRAMME	16,954	6,962	650

EDS CAPITAL INVESTMENT BY WARD 2013/14 - 2015/16

EDS CAPITAL INVESTMENT BY WARD	Estimated Spend		
	2013/14 £'000s	2014/15 £'000s	2015/16 £'000s
ANSTON & WOODSETTS	0	0	0
BOSTON CASTLE	1,028	50	0
BRINSWORTH & CATCLIFFE	500	0	0
DINNINGTON	0	0	0
HAUGH	0	0	0
HELLABY	0	0	0
HOLDERNESS	3,575	0	0
HOOBER	0	0	0
KEPPEL	0	0	0
MALTBY	0	0	0
ROTHER VALE	0	0	0
ROTHERHAM EAST	0	0	0
ROTHERHAM WEST	0	0	0
SILVERWOOD	0	0	0
SITWELL	0	0	0
SWINTON	0	0	0
VALLEY	1,617	1,511	0
WALES	3,575	0	0
WATH	24	0	0
WICKERSLEY	0	0	0
WINGFIELD	0	0	0
ALL WARDS	6,635	5,401	650
EDS CAPITAL PROGRAMME	16,954	6,962	650

NEIGHBOURHOODS & ADULT SERVICES CAPITAL PROGRAMME 2013/14 - 2015/16
FINANCIAL SUMMARY STATEMENT

CAPITAL INVESTMENT BY PROJECT	ESTIMATED SPEND AND FUNDING STATEMENT		
	2013/14	2014/15	2015/16
	£'000s	£'000s	£'000s
ADULT SERVICES			
TRANSFORMATION IN ADULT SOCIAL CARE CAPITAL GRANT	64		
ASSISTIVE TECHNOLOGY	400		
REWS EQUIPMENT	190		
IMPROVING COUNCIL HOUSING & HOUSING SERVICES			
REFURBISHMENT	13,863	13,969	16,243
REPLACEMENT WINDOWS	440	440	
ENVIRONMENTAL WORKS	1,491	1,500	1,500
DECENT HOMES VOID PROGRAMME	1,813	1,767	1,765
REPLACEMENT OF CENTRAL HEATING	2,990	2,920	2,920
ELECTRICAL BOARD & BOND	200	200	200
REPLACEMENT OF COMMUNAL DOORS (HIGH SECURITY)	750	300	
ASBESTOS TESTING	370	370	370
LIFT REPLACEMENTS	75		
FLAT DOOR REPLACEMENT	500	500	
DISTRICT HEATING CONVERSIONS	200	350	350
BOUNDARY WALL TREATMENTS	200	200	200
GENERAL STRUCTURES	650	650	650
EXTERNAL INSULATION	475	475	475
NEW IT SYSTEMS	220		
NON-TRADITIONAL INVESTMENT	1,400	1,400	1,400
STRATEGIC ACQUISITIONS	2,166		
FAIR ACCESS TO ALL			
DISABLED FACILITIES GRANT (PRIVATE SECTOR)	1,311	1,311	1,311
DISABLED ADAPTATIONS (PUBLIC SECTOR)	1,780	1,878	1,897
NEIGHBOURHOOD REGENERATION & RENEWAL			
DINNINGTON TRANSFORMATIONAL CHANGE	25		
CANKLOW PHASE 1 & 2	450	450	
BELLOWS ROAD SERVICE CENTRE CLEARANCE	585		
OCCUPATION ROAD CLEARANCE PROJECT	45		
GARAGE SITE INVESTMENT	500	500	200
SELF BUILD PLOTS - TREETON	80		
CUSTOM BUILD - PILOT PROJECT	65		
NEIGHBOURHOODS IMPROVEMENTS NON-HIP PROGRAMME			
AIR QUALITY GRANT	9		
AIR QUALITY EQUIPMENT/SOFTWARE	10		
LANDFILL SITES	330	108	
NEIGHBOURHOODS & ADULT SERVICES CAPITAL PROGRAMME	33,647	29,288	29,481
SOURCES OF FUNDING			
	2013/14	2014/15	2015/16
	£'000s	£'000s	£'000s
SUPPORTED CAPITAL EXPENDITURE (REVENUE)			
GRANTS AND CONTRIBUTIONS	1,822	979	979
REVENUE CONTRIBUTION	8,437	7,255	6,506
USABLE CAPITAL RECEIPTS	1,412	782	332
PRUDENTIAL BORROWING	2,496	108	
EARMARKED RESERVES			
MAJOR REPAIRS ALLOWANCE	19,480	20,164	21,664
NEIGHBOURHOODS & ADULT SERVICES CAPITAL PROGRAMME	33,647	29,288	29,481

NEIGHBOURHOODS & ADULT SERVICES CAPITAL INVESTMENT BY WARD
2013/14 - 2015/16

NEIGHBOURHOODS & ADULT SERVICES CAPITAL INVESTMENT BY WARD	Estimated Spend		
	2013/14 £'000s	2014/15 £'000s	2015/16 £'000s
ANSTON & WOODSETTS	0	0	0
BOSTON CASTLE	450	450	0
BRINSWORTH & CATCLIFFE	0	0	0
DINNINGTON	25	0	0
HAUGH	585	0	0
HELLABY	45	0	0
HOLDERNESS	0	0	0
HOOBER	45	0	0
KEPPEL	0	0	0
MALTBY	0	0	0
ROTHER VALE	0	0	0
ROTHERHAM EAST	0	0	0
ROTHERHAM WEST	50	0	0
SILVERWOOD	55	0	0
SITWELL	0	0	0
SWINTON	0	0	0
VALLEY	0	0	0
WALES	40	20	0
WATH	95	68	0
WICKERSLEY	0	0	0
WINGFIELD	45	20	0
ALL WARDS	32,212	28,730	29,481
NEIGHBOURHOODS & ADULT SERVICES CAPITAL PROGRAMME	33,647	29,288	29,481

RESOURCES CAPITAL PROGRAMME 2013/14 - 2015/16
FINANCIAL SUMMARY STATEMENT

CAPITAL INVESTMENT BY PROJECT	ESTIMATED SPEND AND FUNDING STATEMENT		
	2013/14	2014/15	2015/16
	£'000s	£'000s	£'000s
ICT			
ICT STRATEGY (2)	560	573	
ICT REFRESH	700	700	
RESOURCES CAPITAL PROGRAMME	1,260	1,273	0

SOURCES OF FUNDING	2013/14	2014/15	2015/16
	£'000s	£'000s	£'000s
	SUPPORTED CAPITAL EXPENDITURE (REVENUE)		
GRANTS AND CONTRIBUTIONS			
REVENUE CONTRIBUTION	230	230	
USABLE CAPITAL RECEIPTS			
PRUDENTIAL BORROWING	1,030	1,043	
EARMARKED RESERVES			
MAJOR REPAIRS ALLOWANCE			
RESOURCES CAPITAL PROGRAMME	1,260	1,273	0

RESOURCES CAPITAL INVESTMENT BY WARD 2013/14 - 2015/16

RESOURCES CAPITAL INVESTMENT BY WARD	Estimated Spend		
	2013/14	2014/15	2015/16
	£'000s	£'000s	£'000s
ANSTON & WOODSETTS	0	0	0
BOSTON CASTLE	0	0	0
BRINSWORTH & CATCLIFFE	0	0	0
DINNINGTON	0	0	0
HAUGH	0	0	0
HELLABY	0	0	0
HOLDERNESS	0	0	0
HOOBER	0	0	0
KEPPEL	0	0	0
MALTBY	0	0	0
ROTHER VALE	0	0	0
ROTHERHAM EAST	0	0	0
ROTHERHAM WEST	0	0	0
SILVERWOOD	0	0	0
SITWELL	0	0	0
SWINTON	0	0	0
VALLEY	0	0	0
WALES	0	0	0
WATH	0	0	0
WICKERSLEY	0	0	0
WINGFIELD	0	0	0
ALL WARDS	1,260	1,273	0
RESOURCES CAPITAL PROGRAMME	1,260	1,273	0

1.	Meeting:	Self Regulation Select Commission
2.	Date:	21 st February 2013
3.	Title:	Corporate Risk Register
4.	Directorate:	Resources

5. Summary

Attached to this report is the current corporate risk register summary. The summary shows the risks associated with the Council's most significant priorities and projects, and actions being taken to mitigate these risks.

The Council's key current risks continue to relate to the financial pressures faced by the Council and the implications of the Welfare Reforms. The report summarises the management actions that are being taken to mitigate these and other risks in the register.

Risks relating to funding the capital programme, personalisation of adults care services, commissioning and highways have been removed from the corporate risk register. This does not mean these risks will no longer be monitored; rather they will now be reviewed at directorate level. They can be re-instated at corporate level at any point in time in the future if/as appropriate.

New risks relating to economic growth and public health and well-being have been added to the register and an entry has been included recognising opportunities to promote the Council's achievements and enhance its reputation.

6. Recommendations

That Self Regulation notes that the Cabinet is being asked to:

- note the corporate risk register summary attached at Appendix A
- confirm the current assessment of the Council's top corporate risks
- indicate any further risks or opportunities that it feels should be added to the risk register.

7 Proposals and Details

7.1 Format

This report contains the latest position on the Corporate Risk Register. The corporate risk register summary is attached at **Appendix A**. The corporate risk register summary reflects the current risk assessments for each corporate priority or project in the corporate risk register.

This covering report highlights the top inherent risks.

There are 3 overall categories of risk (RED, AMBER, GREEN) representing varying degrees of exposure. Each category contains a range of risk scores, so there are varying degrees of risk within each category. Appendix A shows the risk category and score for each priority or project included in the register before and after risk mitigation actions.

7.2 Highest inherent risks

The risk register summary shows risks in descending inherent risk order, to emphasize the most significant risks faced by the Authority. The top risks are:

- Managing Government budget reductions - unable to maintain key services due to budgetary limits.

Budget proposals for 2013/14 have been finalised. Cabinet and Strategic Leadership Team (SLT) continue to meet on a regular basis and plan to begin early to consider the landscape for 2014/15 onwards. Ultimately, Cabinet will make decisions that ensure the Council can provide priority services within available resources.

Government announcements indicate austerity measures will continue for several years yet, including further cuts for local government.

- Welfare Reforms

Various Government reforms, including proposals relating to Council Tax and Benefits, could have major implications for residents and services. Corporate and partnership working groups are considering the implications and identifying actions required, and key reports are being presented to Members as appropriate.

- Unable to deliver effective Children's Services within budget.

Ongoing action is being taken by management to provide services within the budget available. Cabinet is being kept informed of the relevant financial challenges as part of the budget monitoring and budget setting processes and makes decisions accordingly.

- Digital Region

The Council is continuing to work with other shareholders to secure the future of the project and minimise financial risks associated with ongoing trading performance.

The summary at **Appendix A** provides more details of the actions being taken to mitigate these and other risks recorded in the corporate risk register.

7.3 Key developments / changes during the period

The risk associated with the Localism Act has been reduced from Red to Amber as a result of a lowering of the inherent risk of provisions in the Act having a significant detrimental impact on Council services, and the arrangements put in place to manage the implications (risks) of the Act.

The financial position of the Municipal Mutual Insurance company is still under review by the administrators. It is expected that the Council is likely to have to provide for some losses in its 2012/13 accounts, although the precise amount will not be known until information is received from the administrators about the Council's potential liability.

Risks relating to funding the capital programme, personalisation of adults care services, commissioning and highways have been removed from the corporate risk register, although they can be re-instated at any point in time in the future if/as appropriate.

New risks relating to economic growth and public health and well-being have been added to the register. Local economic growth is a key Council priority and becomes increasingly important to the borough from 2013/14 when business rates are localised. This is both in terms of income to the Council through business rates and the creation of wealth and better opportunities for businesses and residents.

Public health responsibilities transfer to the Council formally from April 2013. There are many health challenges that our communities face, and we will aim to quickly put in place joined up, early intervention and prevention policies and strategies to assist our residents.

An entry has been included recognising opportunities to promote the Council's achievements. High level performance in adult care, improving school attainment, the successful delivery of major projects and an increasing recognition of Rotherham as a leader in delivering services to others, all provide opportunities to enhance the Council's reputation and secure further potential benefits.

8. Finance

The risks contained in the register require ongoing management action. In some cases additional resources may be necessary to implement the relevant actions or mitigate risks. Any additional costs associated with the risks should be reported to the SLT and Members for consideration on a case by case basis.

9. Risks and Uncertainties

It is important to review corporate risks on an ongoing basis, to ensure risks relating to the Council's key projects and priorities are effectively monitored and managed by the Strategic Leadership Team and Members.

10. Policy and Performance Agenda Implications

Risk Management is part of good corporate governance and is wholly related to the achievement of the objectives in the Council's Corporate Plan.

11. Background Papers and Consultation

This report reflects the latest updates provided by the respective 'lead officers'.

The register was agreed by SLT on 4 February 2013

Contact Names:

Colin Earl, Director of Audit and Asset Management, x22033

Andrew Shaw, Insurance and Risk Manager, x22088

Appendices

Appendix A Corporate Risk Register Summary

APPENDIX A: SUMMARY CORPORATE RISK REGISTER

No	Risk	Pre Controls 1-25	Lead officer Key Actions/Updates	Post Controls 1 -25	Links to Corporate Priorities
0027	Managing Government budget reductions - unable to maintain key services due to budgetary limits	25	<u>Martin Kimber</u> <ul style="list-style-type: none"> • High priority, driven through Strategic Leadership Team and Cabinet • Further actions to mitigate budget reductions are being identified 	20	All Priorities
0037	Welfare Reform: <ul style="list-style-type: none"> • Significant pressures arising from the localisation of various resources (such as local Council Tax and Social Fund), including a reduction in overall funding available, limited administration capacity and reduced collection of Council Tax • Potential major impact of reduced housing benefits, leading to higher debts, increasing demand for shrinking services, and increasing poverty and vulnerability. Potential to increase gap in communities' needs • Negative overall impact on the local economy, with spiralling consequences. • Potential increase in crime. 	25	<u>Karl Battersby</u> <ul style="list-style-type: none"> • Corporate and partnership working groups considering implications of welfare reform and actions required • Effective communications especially in relation to discretionary benefits administered by the Council and arrangements for assisting those in need to access benefits • Corporate Policy on the top 11 deprived areas. 	16	All Priorities

No	Risk	Pre Controls 1-25	Lead officer Key Actions/Updates	Post Controls 1 -25	Links to Corporate Priorities
0022	Unable to deliver effective Children's Services within budget	25	Joyce Thacker <ul style="list-style-type: none"> Review of all service provision and structures continues Continuous monitoring of budget and reporting to SLT / Cabinet Council committed additional £875k for 12/13. Work continues in relation to reviews of service provision and structures in line with more restrictive financial parameters. 	16	Priority 2 - Providing quality education Priority 3 - Care and protection for those people who need it most
0033	Funding of the Digital Region Project to provide comprehensive broadband facilities across South Yorkshire	20	Martin Kimber <ul style="list-style-type: none"> South Yorkshire Councils are adopting a proactive approach to the project, including support A critical review report has been agreed by the DRL Company and procurement is now underway for a new operator to take over the running of the network, including operating costs and revenue generation. 	16	Priority 1: No community left behind
0036	Localism Act 2011 (Part 2): Implementation requires substantial preparation, management and subsequent maintenance, relating to: <ul style="list-style-type: none"> Community "right to challenge" Neighbourhood planning requirements Tenure reform and the Council's Tenancy Strategy Assets of community value. 	20	Martin Kimber <ul style="list-style-type: none"> All strands are being reviewed and progressed by relevant policy, service and support officers. Working groups are established for specific projects (eg assets of community value) Council Tax implications are being factored into budget planning. 	12	All Priorities

No	Risk	Pre Controls 1-25	Lead officer Key Actions/Updates	Post Controls 1 -25	Links to Corporate Priorities
0021	Failure to sustain improvement in Children's Services	20	<p>Joyce Thacker</p> <ul style="list-style-type: none"> • Ofsted profile improved from 62.6% (inspected settings good or better) in March 2011 to 69.9% in April 2012 • Progress is being made on OFSTED inspection recommendations • Positive GCSE attainment results achieved for the 11/12 Academic year. • Key Stage 2 –Pupils on Free School Meals are performing well below the national average. Overall attainment in English and Maths continues to improve, but lag behind average. • Key Stage 4 - In 2012, attainment at 5+A*-C increased by 3.6% to 32.9% but remains 3.4% below average. Action is being led by the School Effectiveness Service. Attainment for non-Free School Meals pupils increased by 3.2% and is 2% above the national average. • Child Sexual Exploitation (CSE) – significant improvement in practice since 2010. Continued work to identify and tackle CSE. • Foster Care – review being completed following recent case. Recruitment of in house Foster Carers continues to meet stretching targets. 	12	<p>Priority 2 - Providing quality education</p> <p>Priority 3 - Care and protection for those people who need it most</p>

No	Risk	Pre Controls 1-25	Lead officer Key Actions/Updates	Post Controls 1-25	Links to Corporate Priorities
0040	Developing economic growth, increase business rates income and increase opportunities for residents	20	<u>Karl Battersby</u> <ul style="list-style-type: none"> • Significant and previously successful inward investment activity • Detailed support programme for local businesses • High quality start up facilities • Maximising location and transport advantages. 	12	All Priorities
0041	Improving health and well-being	20	<u>John Radford</u> <ul style="list-style-type: none"> • Health and Well-being strategy in place • Strong focus on prevention, advice and support • Good partnership working • Formal transfer of responsibilities from the NHS to RMBC is on track for April 2013. 	12	Priority 1: No community left behind
0030	Schools Collaboration- impact of schools commissioning on LA services	16	<u>Joyce Thacker</u> <ul style="list-style-type: none"> • Monitoring of schools' appetite for change is ongoing • Positive discussions have been held with the Rotherham School Improvement Partnership and Teaching School Alliance re schools' appetite • Arrangements are being improved in relation to income generation • Portfolio of services review completed. • Work continues in relation to the new schools funding arrangements effective from 2013 onwards. 	12	Priority 2 - Providing quality education

No	Risk	Pre Controls 1-25	<u>Lead officer</u> Key Actions/Updates	Post Controls 1-25	Links to Corporate Priorities
0031	Academies, Free Schools and other school settings - Potential impact on LA schools and the Council e.g. loss of revenue, falling pupil numbers, reduced attainment, breakdown in relationships etc	16	<u>Joyce Thacker</u> <ul style="list-style-type: none"> • There are currently no free school applications active within the Borough. • Maximise potential for income generation with Academies through the provision of quality services via competitive SLA agreements. • Continue to enhance current strong working relationships with converted Academy Trusts and proposed future Academy Trusts. • Continue to work with Academies to gain commitment to the Rotherham School Improvement Partnership. • School Governing Bodies continue to meet in whole Learning Community meetings, exploring the implications of Academy conversion, collaborative / partnership working and other models. 	12	Priority 2 - Providing quality education
0039	Municipal Mutual Insurance (MMI): Insurance Liabilities MMI has gone into administration following a landmark ruling by the Supreme Court ruling on Employer's Liability relating to asbestos claims. As a stakeholder, the Council will have to contribute to any company deficits resulting from the ruling.	16	<u>Martin Kimber</u> <ul style="list-style-type: none"> • Administrators have been appointed. • The situation continues to be monitored and any implications will be reported to members when clarity emerges. • Consideration will be given to the need to create a provision in the 2012/13 accounts based on information available at the end of the financial year. 	9	All Priorities

No	Risk	Pre Controls 1-25	Lead officer Key Actions/Updates	Post Controls 1-25	Links to Corporate Priorities
0042	Maximising reputation opportunities; enhancing reputation as a leading authority, delivering services to others, attracting businesses, positive Public recognition.	12	<u>Martin Kimber</u> <ul style="list-style-type: none"> • Highlighting good performing service delivery • Emphasizing major achievements including successful business development • Successful delivery of services to others • Regional and national awards • Responding to Public consultation • Strong communications 	9	All priorities
0035	<p>Failure to minimise property ownership and maximise the use of retained properties.</p> <p>Failure to maximise savings and benefits from the roll out of WorkSmart arrangements to all relevant staff.</p>	12	<u>Martin Kimber</u> <ul style="list-style-type: none"> • Asset management strategy being finalised • Future options for extending Worksmart to staff in non-central buildings, to facilitate further property rationalisation 	6	Priority 5 Improving the Environment

ROTHERHAM BOROUGH COUNCIL – REPORT TO MEMBERS
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1.	Meeting:	Self-Regulation Select Commission
2.	Date:	21, February 2013
3.	Title:	Strategic Commissioning Priorities 2012/13
4.	Directorate:	Resources All wards

5. Summary

The attached briefing paper and presentation outlines the Strategic Commissioning Priorities for 2012/13 focusing on Adults, Children, Young People and Families.

6. Recommendations

That Members:

- a. Comment on the paper and presentation;**
- b. Forward any comments to the relevant Cabinet Member(s) for consideration;**
- c. Request further reports on commissioning activity to a future meeting.**

d.

7. Proposals and details

At its September meeting, the Select Commission agreed its priorities over the municipal year and asked that reports on commissioning activity be scheduled for consideration. This item focuses on Adults, Children, Young People and Families. The Chair and Vice-Chair have indicated that they wish to examine other commissioning activity across the Council to be scheduled at a future date.

Members asked that the following points be addressed

- how savings/value for money are secured from commissioning activity
- how performance/outcomes are monitored in these areas (examples)
- how service user satisfaction of commissioned services is measured and how these feed into commissioning decisions
- given numerous providers and the potential for more services to be outsourced, shared or commissioned, how democratic accountability is maintained through the governance arrangements.

8. Finance

See presentation/briefing.

9. Risks and Uncertainties

see presentation

10. Policy and Performance Agenda Implications

see presentation

11. Background Papers and Consultation

This paper has been brought at the request of Members

12. Contact

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Briefing note to Self Regulation Commission on Strategic Commissioning Priorities 2012/13

These commissioning priorities for 12/13 for Adults and CYPS have been developed from the national and local priorities and agreed with Portfolio Holders, DLTs, and the Strategic Leadership Team. Many of these priorities are complete with others, due to the complexity or size of the project, are ongoing and span across 2 financial years.

Priorities for 13/14 are work in progress and are currently subject to discussions with Directors prior to reporting to Portfolio Holders.

The Strategic Commissioning team works very closely with colleagues across all Directorates, utilising project management skills to deliver against the priorities. Each priority has a Project document that details the scope, interdependencies and required outcomes. Stakeholders are identified and a project sponsor is nominated. Progress is reported into the appropriate DLT. Impact assessments are completed for every service that is to be decommissioned.

For corporate priorities, such as Connect to Support, Supporting People programme and Healthwatch Rotherham, these are managed through council wide project groups and also include our partners in the Voluntary and Community Sector and Health. There is cross over between Adults and CYPS commissioning and skills, experience and knowledge is shared across the team. All operational commissioners are qualified in Public Sector commissioning.

Set out below are the Strategic Commissioning Priorities for Adults and CYPS for 12/13.

1. Adults

Commissioning Priority	Governance
<p>Work with commissioning partners in Public Health and CCG to deliver social care related outcomes agreed in Health and Wellbeing Strategy.</p> <p>To review and where indicated ensure best value, Value for Money and efficiencies in the delivery of:</p> <ul style="list-style-type: none"> ○ Services for people with dementia ○ Review outcomes from Reablement Grant and Adult Social Care Grant from CCG ○ Refresh Supporting People Plan in line with Homeless Strategy, Housing Strategy; Welfare Reform Action Plan; and work on 11 Communities of Deprivation ○ Partnership arrangements with RDASH for social care services to adults with mental health problems ○ Arrangements for social care services to adults with learning disabilities ○ Provision of Tier 2 Alcohol Services ○ Provision of advocacy services for vulnerable people including Healthwatch Rotherham. 	<p>HWB Strategy</p> <p>NAS Service Plan</p> <p>2012/13 Joint Commissioning Work Programme</p> <p>2012/13 CPP Work Programme</p> <p>Rotherham Local Account</p>
<p>Work with NAS and Service Improvement Team to identify opportunities for new models of service delivery to align with Think Local Act Personal principles.</p>	<p>HWB Strategy</p> <p>NAS Service Plan</p> <p>2012/13 CPP Work Programme</p> <p>Rotherham Local Account Think Local Act Personal Programme</p>

<p>Manage the relationship with the independent sector through a range of provider forums, business meetings, and maintenance of a Forward Commissioning Plan and Contracts Register and Market Position Statement.</p>	<p>HWB Strategy</p> <p>NAS Service Plan</p> <p>2012/13 CPP Work Programme</p> <p>2012/13 Forward Procurement Plan</p> <p>Developing Care Markets for Quality & Choice Programme (ADASS)</p> <p>Rotherham Local Account</p> <p>Think Local Act Personal Programme</p>
<p>Monitor the introduction of Rotherham Connect to Support, and make available a wider range of personalised services in line with Think Local Act Personal principles.</p>	<p>HWB Strategy</p> <p>NAS Service Plan</p> <p>2012/13 CPP Work Programme</p> <p>Rotherham Local Account</p>
<p>Support contract assurance function and maintain effective quality assurance systems (Home from Home/ Home Matters/ Risk Matrix/ CQC Meetings/Adult Safeguarding) across all residential and community services to improve the quality of services, raise standards, improve outcomes and safeguard vulnerable adults.</p>	<p>HWB Strategy</p> <p>NAS Service Plan</p> <p>2012/13 CPP Work Programme</p> <p>Rotherham Safeguarding Adults Board</p> <p>Rotherham Local Account</p> <p>Think Local Act Personal Programme</p>

2. CYPS

Commissioning Priority	Governance
<p>Work with partners in the VCS to deliver improved outcomes for children and their families in terms of Early Help and Early Intervention and Prevention. This includes, but is not restricted to:</p> <ul style="list-style-type: none"> ○ Positive Youth Activities ○ Regional commissioning framework for residential care ○ Looked After Children framework ○ Leaving care services ○ Parenting ○ Services for children in a violent/abusive home environment ○ Provision of advocacy services for vulnerable people including Healthwatch Rotherham 	<p>HWB Strategy</p> <p>CYPS Service Plan</p> <p>CYPS Strategic Commissioning Work Plan</p> <p>Early Help Strategy</p> <p>CYPS Rotherham Report</p>

<p>Work with regional and sub regional colleagues to deliver innovative strategic and joint commissioning e.g.</p> <ul style="list-style-type: none"> ○ Framework for Residential Care ○ Youth Restorative Justice ○ Services for children displaying inappropriate sexual behaviour 	<p>HWB Strategy</p> <p>CYPS Service Plan</p> <p>CYPS Strategic Commissioning Work Plan</p> <p>CYPS Rotherham Report</p>
<p>Work with commissioning partners in Public Health and CCG to deliver social care related outcomes agreed in Health and Wellbeing Strategy.</p> <p>To review and where indicated ensure best value, Value for Money and efficiencies in the delivery of:</p> <ul style="list-style-type: none"> ○ IYSS ○ Transport ○ Aiming High for Disabled Children ○ Contact ○ Children's Centres and take up by hard to reach families ○ All Early Intervention Grant commissioned services ○ CAMHs and Substance Misuse ○ Portfolio of traded services ○ Outdoor Education 	<p>HWB Strategy</p> <p>CYPS Service Plan</p> <p>CYPS Strategic Commissioning Work Plan</p> <p>CYPS Rotherham Report</p>
<p>Monitor the introduction of Rotherham Connect to Support, and make available a wider range of personalised services in line with Think Local Act Personal principles.</p> <ul style="list-style-type: none"> ○ Extension of Connect to Support to include Children and Families 	<p>HWB Strategy</p> <p>NAS Service Plan</p> <p>2012/13 CPP Work Programme</p> <p>Rotherham Local Account</p> <p>Think Local Act Personal Programme</p>
<p>Support contract assurance function and maintain effective quality assurance systems across all commissioned services to improve the quality of services, improve outcomes, raise standards and safeguard children and vulnerable adults.</p> <ul style="list-style-type: none"> ○ Contracting Concerns & Eyes & Ears ○ LAC placement intelligence database 	<p>HWB Strategy</p> <p>CYPS Service Plan</p> <p>CYPS Strategic Commissioning Work Plan</p> <p>CYPS Rotherham Report</p>
<p>Monitor the Healthwatch Rotherham introduction to ensure Children and their families are included in the programme for advocacy services and embedded in the new organisation</p>	<p>HWB Strategy</p> <p>CYPS Service Plan</p> <p>CYPS Strategic Commissioning Work Plan</p> <p>CYPS Rotherham Report</p>

Manage the relationship with the independent sector through a range of business meetings, contract review meetings and maintenance of a Forward Commissioning Plan and Contracts Register	HWB Strategy CYPS Service Plan CYPS Strategic Commissioning Work Plan CYPS Rotherham Report
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Contact Details

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ROTHERHAM BOROUGH COUNCIL – REPORT TO MEMBERS
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1.	Meeting:	Self-Regulation Select Commission
2.	Date:	21, February 2013
3.	Title:	Work programme Update: 2012/13
4.	Directorate:	Resources All wards

5. Summary

The paper updates the Scrutiny Work Programme for 2012/13. Members requested that a report be submitted to each meeting to reflect the current work programme and outline issues yet to be scheduled. This is attached as Appendix A

6. Recommendations

That Members:

- a. Discuss the work programme as attached and give consideration to priority areas for future scrutiny.**

7. Proposals and details

7.1 At its June meeting, the Select Commission agreed its priorities over the municipal year. A work programme has been drawn up on the basis of these priorities (attached as Appendix A). These have been informed by comments from Commission Members and discussion with Cabinet Members and the Senior Leadership Team. Additional items have been raised during the year, which have been added to the work programme.

8. Finance

There are no financial implications arising directly from this report. However, recommendations arising from the panel may have financial implications should they be implemented.

9. Risks and Uncertainties

The work programme must be realistic in terms of the Commission's capacity to properly examine issues that come before it. If additional items are added, the panel may have to re-prioritise which issues it wishes to scrutinise.

10. Policy and Performance Agenda Implications

The proposed work programme takes on board key policy agendas the Council is currently considering and performance information as and where necessary. The areas identified for future scrutiny should complement the priorities identified in the Corporate Plan.

It is also important to note the changes that have occurred during the last year and the reduction in staffing resources. Any work programme needs to take account of this and look realistically at what can be achieved and where it is best to focus resources and efforts.

11. Background Papers and Consultation

This paper has been brought at the request of Members

12. Contact

Caroline Webb, Senior Scrutiny Adviser, Resources Directorate
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Work programme:

Appendix A

	Issue	Comment
May 31, 2012	<ul style="list-style-type: none"> • District Heating • Work programme 	
July 11, 2012	<ul style="list-style-type: none"> • Public Sector Equality Duty • Capital Programme Outturn 2011/12 and updated estimates 2012/13 to 2014/15 • Revenue Account Outturn 2011/12 • CYPS Budget 2012/13 	
September 20, 2012	<ul style="list-style-type: none"> • Corporate Plan Outcomes - September 2012 • Work Programme Update 	
October 11, 2012	<ul style="list-style-type: none"> • Annual Complaints Report - April 2011-March 2012 • Localisation of Council Tax • Update Digital Region 	
November 22, 2012	<ul style="list-style-type: none"> • District Heating Review – final report • 2012/13 Revenue Monitoring • 2012/13 Capital Programme Monitoring • Central Support Charges • Performance Updates – focusing on Child Poverty and Obesity 	<ul style="list-style-type: none"> • final report (re-scheduled from September) • Request made at meeting of September 20

January 10, 2013	<ul style="list-style-type: none"> • Budget Setting Process • Housing Rents (2013/14) • District Heating Scheme Charges 	
February 21, 2013	<ul style="list-style-type: none"> • 2012/13 Revenue Monitoring • 2012/13 Capital Programme Monitoring • Corporate Risk Register • Commissioning – focus on health and social care 	Requested at meeting of September 20, 2012. NOTE other aspects of commissioning activity to be scheduled
March 28, 2013	<ul style="list-style-type: none"> • Corporate Plan Outcomes • Performance update - outcomes relating to worklessness and job creation • Complaints update 	requested at meeting of November 22, 2012 (provisional)

requested items	
<ul style="list-style-type: none"> • Public Equality Duty 	<ul style="list-style-type: none"> • May/June 2013
<ul style="list-style-type: none"> • 12 months implementation of the revised laundry charges with a view to ascertaining whether they provide value for money 	<ul style="list-style-type: none"> • Requested at January 2012, scheduled for May 2013
<ul style="list-style-type: none"> • Private Finance Initiative - update; 	<ul style="list-style-type: none"> • to be scheduled